AGENDA ITEM NO: 14.1

UNIVERSITY COUNCIL
RESEARCH, SCHOLARLY, AND ARTISTIC WORK COMMITTEE
REPORT FOR INFORMATION

PRESENTED BY: Jon Bath; chair, Research, Scholarly and Artistic Work Committee

DATE OF MEETING: October 17, 2019

SUBJECT: 2018 Report of Allegations and Breaches under the Responsible Conduct of Research Policy

COUNCIL ACTION: For Information Only

SUMMARY:

The Tri-Agency Framework on Responsible Conduct of Research mandates annual reporting on the total number of allegations received involving Agency funding, the number of confirmed breaches and the nature of those breaches. Previously breaches of the Responsible Conduct of Research Policy were reported through the annual report of the Vice-President Research to RSAW.

The attached report outlines the number of breaches and allegations under the Responsible Conduct of Research Policy in 2018. RSAW reviewed this report at its meeting September 12, 2019.

ATTACHMENTS:
1. Responsible Conduct of Research Policy: 2018 Report on Allegations and Breaches
BACKGROUND:
The Tri-Agency Framework on Responsible Conduct of Research (section 4.5) mandates annual reporting to the Secretariat on Responsible Conduct of Research (SRCR) on the total number of allegations received involving Agency funds, the number of confirmed breaches and the nature of those breaches, subject to applicable laws, including privacy laws.

DISCUSSION:
The January 1, 2018 – December 31, 2018 report from USask to the SRCR is attached.

There was one (1) ongoing RCR investigation and 4 new allegations of RCR breaches during the 2018 calendar year. No breaches of the RCR Policy were confirmed. The 1 ongoing RCR investigation is currently in litigation (ongoing since 2012). Three (3) of the 4 new allegations were investigated and closed with no breaches identified; one (1) new allegation was investigated and elevated to a RCR Hearing Board, and is ongoing.

All four (4) of the new allegations alleged breaches in RCR regarding intellectual property.
Annual Responsible Conduct of Research (RCR) Report  
Reporting Period: January 1, 2018 to December 31, 2018

NAME OF INSTITUTION: University of Saskatchewan

Part 1 - Information on RCR files involving Agency funds
Please complete the following table:

<table>
<thead>
<tr>
<th>Number of RCR allegations received during reporting period</th>
<th>Number of RCR breaches confirmed during reporting period</th>
<th>Nature of RCR breaches confirmed during reporting period (e.g., fabrication; plagiarism)</th>
<th>Number of active (in progress) RCR files at end of reporting period</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>0</td>
<td>n/a</td>
<td>2 (1 in Ligation; 1 in Hearing Board)</td>
</tr>
</tbody>
</table>

Part 2 - Information on your institution's designated RCR contact and RCR policy
Please complete the following table:

<table>
<thead>
<tr>
<th>Name and position of institution's designated RCR contact (e.g., Research Integrity Officer)</th>
<th>Darcy Marciniuk, Associate Vice-President Research</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact information for institution's designated RCR contact (email and telephone #)</td>
<td><a href="mailto:darcy.marciniuk@usask.ca">darcy.marciniuk@usask.ca</a> 306.844.1148</td>
</tr>
<tr>
<td>Name and position of senior official responsible for RCR policy at institution, e.g., VP Research (include email and telephone #)</td>
<td>Darcy Marciniuk, Associate Vice-President Research</td>
</tr>
<tr>
<td>Web address to institution's RCR policy (if different from address provided last year)</td>
<td>Same. <a href="http://policies.usask.ca/policies/research-and-scholarly-activities/responsible-conduct-of-research-policy.php">http://policies.usask.ca/policies/research-and-scholarly-activities/responsible-conduct-of-research-policy.php</a></td>
</tr>
</tbody>
</table>

Once complete, please submit this document to the Secretariat on Responsible Conduct of Research, by email, at secretariat.a,srcr-scn*r.gc.ca. If you have any questions, please contact the Secretariat.

Thank you.

The Secretariat on Responsible Conduct of Research